
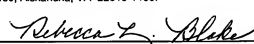


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(b))			Docket No. CSO-0001-P	
In Re Application Of: Sophie Chen				
Serial No. 10/647,458	Filing Date 8/1/2003	Examiner	Group Art Unit	
<p>Title:</p> <p>BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE</p>				
<p>Address to:</p> <p>Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;">37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;">OR</p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				

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BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE				
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.				
<input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F): _____ (Date)		I certify that this document and fee is being deposited on 2/5/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.		
_____ Signature		 Signature of Person Mailing Correspondence		
_____ Typed or Printed Name of Person Signing Certificate		Rebecca L. Blake Typed or Printed Name of Person Mailing Certificate		
*This certificate may only be used if paying by deposit account.				
Karen A. LeCuyer _____ Signature		Dated: February 5, 2004		
Karen A. LeCuyer Registration No. 51,928 Customer No. 23413 Telephone No. 860-286-2929				
cc:				

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GROUP

U.S. PATENT DOCUMENTS

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 01 30342 A1	03.05.2001	PCT				✓
		EP 0 742 012 A2	13.11.1996	European				✓
		WO 00 03706	27.01.00	PCT				✓
		WO 98 48790	05.11.98	PCT				✓
		EP 0 906 761 A2	07.04.1999	European				✓

		Ikemoto Shinichi et al., "Antitumor effects of Scutellariae radix and its components biacalein, baicalin, and wogonin on bladder cancer cell lines", UROLOGY, vol. 55, no. 6, June 2000, pages 951-955.
		Lee Woan-Rouh et al., "Wogonin and fisetin induce apoptosis in human promyeloleukemic cells, accompanied by a decrease of reactive oxygen species, and activation of caspase 3 and Ca ²⁺ -dependent endonuclease", BIOCHEMICAL PHARMACOLOGY, vol. 63, no. 2, 15 January 2002, pages 225-236.

06/04/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM 10458 - GAU: 1612
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U.S. PATENT DOCUMENTS

[illegible]

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[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

JP09176011; 1997-07-08 (Abstract Only)

Miao-Ying Lai et al., "Relative flavone bioavailability of *Scutellariae Radix* between traditional decoction and commercial powder preparation in humans", J. FOOD AND DRUG ANALYSIS, vol. 10, no. 2, June 2002, pages 75-80.

/Chris Simmons/

DATE CONSIDERED

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10/647,458 - GAU: 1612
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			JP60178815; 1985-09-12 (Abstract Only)
			International Search Report; International Application No. EP/T/US 03/06979; International filing date 06/03/2003; Date of Mailing 05/11/2003
			International Search Reports have no publication date

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